



UNITED STATES
SECURITIES AND EXCHANGE COMMISSION
STATION PLACE
100 F STREET, NE
WASHINGTON, DC 20549-2465

Office of FOIA Services

December 8, 2017

Mr. Gary Aguirre
Redacted

Re: Freedom of Information Act (FOIA), 5 U.S.C. § 552
Request No. 18-00541-FOIA

Dear Mr. Aguirre:

This letter responds to your request, dated and received in this office on December 5, 2017, for a copy of all Form ATSS dated from January 2014 to the present, filed by Pro Securities. In accordance with our telephone conversation of December 6, 2017, you amended the timeframe of your request to cover the period from January 1, 2010 to the present.

We have located the requested forms and have determined to withhold them in full under 5 U.S.C. § 552(b)(4) and (8), 17 CFR § 200.80(b)(4) and (8) for the following reasons: The records are protected under exemption 4 since they contain confidential commercial or financial information, the release of which could cause substantial competitive harm to the submitter. The records are also withheld under exemption 8, which protects from disclosure records contained in or related to examination, operating, or condition reports prepared by, on behalf of, or for the use of an agency responsible for the regulation or supervision of financial institutions.

I am the deciding official with regard to this adverse determination. You have the right to appeal my decision to the SEC's General Counsel under 5 U.S.C. § 552(a)(6), 17 CFR § 200.80(d)(5)(iv). The appeal must be received within ninety (90) calendar days of the date of this adverse decision. Your appeal must be in writing, clearly marked "Freedom of Information Act Appeal," and should identify the requested records. The appeal may include facts and authorities you consider appropriate.

You may file your appeal by completing the online Appeal form located at https://www.sec.gov/forms/request_appeal, or mail your appeal to the Office of FOIA Services of the Securities and Exchange Commission located at Station Place, 100 F Street NE, Mail Stop 2465, Washington, D.C. 20549, or deliver it to Room 1120

Mr. Gary Aguirre
December 8, 2017
Page Two

18-00541-FOIA

at that address. Also, send a copy to the SEC Office of the General Counsel, Mail Stop 9612, or deliver it to Room 1120 at the Station Place address.

You also have the right to seek assistance from me as a FOIA Public Liaison or contact the Office of Government Information Services (OGIS) for dispute resolution services. OGIS can be reached at 1-877-684-6448 or Archives.gov or via e-mail at ogis@nara.gov.

If you have any questions, please contact Ronnye Hall of my staff at hallr@sec.gov or (202) 551-8353. You may also contact me at foiapa@sec.gov or (202) 551-7900.

Sincerely,

A handwritten signature in black ink, appearing to read "J. Ovall", written in a cursive style.

Jeffery Ovall
FOIA Branch Chief

Enclosure